

•

J,

-,

÷

Sheet	1	of	6
-------	---	----	---

		THAUE					
	Form	PTO-1449 Modified	Docket No. MSFT-0179/ 1506571.	Serial No. 09/671,643			
·	(	f Patent and Publications Cited by Applicant everal sheets if necessary)	Applicant <b>Rajasekhar Abburi</b>				
		Department of Commerce t and Trademark Office	Filing Date 9/28/2000	Group 2165			
OTHER D	OCUM	ENTS (Including Author, Title, Da	te, Pertinent Pages, I	Etc.)			
10f	AA	"Aladdin Knowledge Systems Partners Comprehensive Solution for Electronic		-			
Ĩ	AB	Amdur, D., "Metering Online Copy	right," January 16, <b>19</b>	96, 2 pages			
	AC	Amdur, D. (ed.), "InterTrust Challe On Electronic Commerce, July 23, 1	0 0	0, 1			
	AD	Armati, D., "Tools and standards for April 3, <b>1996</b> , 17 pages	Armati, D., "Tools and standards for protection, control and presentation of data April 3, <b>1996</b> , 17 pages				
	AE	•	Benjamin, R. et al., "electronic markets and virtual value chains on the information superhighway," <i>Sloan Management Rev.</i> , Winter, <b>1995</b> , 62-72				
	AF	Cassidy, "A Web developers guide t 1997, 5 pages	Cassidy, "A Web developers guide to content encapsulation technology," April, <b>1997</b> , 5 pages				
	AG	Clark, T., "Software Secures Digita September 25, <b>1995</b> , 1 page	Clark, T., "Software Secures Digital Content on Web," Interactive Week, September 25, 1995, 1 page				
	AH	Cox, B., "Superdistribution," Idees	Cox, B., "Superdistribution," Idees Fortes, September, 1994, 2 pages				
	AI	Cox, B., "What if There Is A Silver <b>1992,</b> 8-9 and 76	Cox, B., "What if There Is A Silver Bullet," J. Object Oriented Programm., June 1992, 8-9 and 76				
	AJ	Griswold, G.N., "A Method for Protecting Copyright on Networks," IMA Intell. Property Project Proceedings, January, 1994, 1(1), 169-178					
	AK	Hauser, R.C., "Does licensing require new access control techniques?" August, <b>1993</b> , 9 pages					
	AL	Hudgins-Bonafield, C. "selling Knowledge on the Net," <i>Network Computing</i> , June 1, <b>1995</b> , 102-109					
AB	AM	"IBM spearheading intellectual prop the Internet," May, <b>1996</b> , 3 pages	erty protection techno	logy for information on			
EXAMINI	ER	Vanel Sheene	DATE CONSIDER	ED 2/26/03			
			DE	EC 2 6 2000			

Technology Center 2100

For	n PTO-1449 Modified	Docket No. MSFT-0179/	Sheet 2 of 6 Serial No. 09/671,643
	of Patent and Publications Cited by Applicant	1506571. Applicant Rajasekhar Abbur	 i
U.S.	several sheets if necessary) Department of Commerce ent and Trademark Office	Filing Date 9/28/2000	Group 2165
OTHER DOCU	MENTS (Including Author, Title, D	ate, Pertinent Pages,	Etc.)
AR AN	"Technological Solutions Rise to C Electronic Works," Information La	-	
ΑΟ	Kaplan, M.A., "IBM Cryptolopes_ Management," December, <b>1996</b> , 7	-	nd Digital Rights
АР	Kent, S.T., "Protecting externally September, <b>1980</b> , 1-42 and 250-25		mall computers,"
AQ	Kohl, U. et al., "Safeguarding Dig Documents Rather Than Channels,	•	· · · · · · · · · · · · · · · · · · ·
AR	Linn, R.J., "Copyright and Inform Research and Education Network," January, <b>1994</b> , <i>1(1)</i> , 1 and 10-20		
AS	McNab, L., "Super-distribution wo 1998, 2 pages	orks better in practical	applications," March 2,
AT	Moeller, M., "NetTrust lets Cyber November 20, <b>1995</b> , <i>12(48)</i> , 1 pag	1	Account," PC Week,
AU	Moeller, M., "IBM takes charge of SET," April, <b>1996</b> , 4 pages	E-commerce; Plans c	lient, server apps based
AV	Pemberton, J., "An ONLINE Inter 1996, 7 pages	view with Jeff Crigler	at IBM InfoMarket," Ju
AW	<ul> <li>"LicensIt: kinder, gentler copyrigh authorship information," Seybold R</li> <li>2 pages</li> </ul>		•
J AX	Sibert, O. et al., "The DigiBox: A So First USENIX Workshop on Electro		
AY AY	Sibert, O. et al., "Securing the Cor July, <b>1995</b> , 1-11	itent, Not the Wire, fo	or Information Commerce
EXAMINER	Vanie Agene	DATE CONSIDER	
		7-	DEC 2 6 2000
		~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~	Chnology Center 2100

,

		DEC 2 2 2000	Her KIS			
	Form	PTO-1449 Modified	Docket No. MSFT-0179/ 1506571.	Sheet 3 of Serial No. 09/671,643		
	(	f Patent and Publications Cited by Applicant everal sheets if necessary)	Applicant Rajasekhar Abbu	ıri		
		Department of Commerce t and Trademark Office	Filing Date <b>9/28/2000</b>	Group 2165		
OTHER I	OCUM	ENTS (Including Author, Title, I	Date, Pertinent Pages	, Etc.)		
alf	AZ	Smith, M.G. et al., "A New Set o Commerce, November 6, 1995, 3		on Commerce," Electronic		
	BA	Stefik, M., 'Trusted Systems," Ma	arch, 1997, 8 pages			
	BB	Stefik, M., "Shifting the Possible: Rights Challenge Us to Rethink D 137-159	-	• • • •		
	BC	Tarter, J. (ed.), "The Superdistrib $3(6)$ , 1-6	ution Model," Soft Tr	ends, November 15, 1990		
	BD	"Rights Management in the Digita 1997, 4, 3 pages	l Age: Trading in Bit	s, Not Atoms," Spring,		
	BE	Weber, R., "Digital Rights Manag	ement Technologies,	" October, <b>1995,</b> 1-49		
	BF	White, S.R. et al., 'ABYSS: An A On Software Engineering, June, 1	are Protection," IEEE Tra			
	BG	White, S.R. et al., "ABYSS: A trusted architecture for software prote Symposium on Security and Privacy, April 27-29, <b>1987</b> , 38-51				
	BH	"Boxing Up Bytes," 2 pages				
	BI	Ramanujapuram, A. et al., "Digits specification language and tools for and 26				
				RECENCE		
				RECEIVED		
<u> </u>				DEC 2 6 2000		
AB				echnology Center 2100		
	ER	1 the the	1			

		P	DEC 2 2 2000 BE	PADEMARTINE .		Sheet	
]	Form	PTO-1449 Modif	ied	Docket No. MSFT-0179/ 1506571.	Serial No 09/671,6		
	(	Patent and Publication Cited by Applicant veral sheets if necess	Applicant Rajasekhar Abbu				
U.S. Department of Commerce Patent and Trademark Office				Filing Date 9/28/2000			
		U. S	S. PATENT D	OCUMENTS			
Examiner Initial		Document No.	Date	Name	Class	Sub	
NNO	BJ	3,718,906	02/27/73	Lightner	340	147	
	BK	4,323,921	04/06/82	Guillou	358	114	
	BL	4,528,643	07/09/85	Freeny, Jr.	364	900	
	BM	4,658,093	04/14/87	Hellman	380	25	
	BN	4,683,553	07/28/87	Mollier	380	4	
	BO	4,827,508	05/02/89	Shear	380	4	
	BP	4,916,738	04/10/90	Chandra et al.	380	25	
	BQ	4,953,209	08/28/90	Ryder, Sr. et al.	380	23	
Nº 100	BR	4,977,594	12/11/90	Shear	380	4	
	BS	5,050,213	09/17/91	Shear	380	25	
• 0		FORE	IGN PATEN	<b>T DOCUMENTS</b>			
Examiner Initial		Document No.	Date	Country	Translat YES	tion NO	
M&	BT	EP 0 715 246 A1	06/05/96	EPO	X		
n	BU	EP 0 715 247 A1	06/05/96	EPO	X		
202	BV	WO 93/01550	01/21/93	PCT	X		
NOF-	BW	WO 96/13013	05/02/96	РСТ	X		
• (		1) o o l	2				

. .

يو، و رومو،

•

•

.

.

DEC 2 6 2000

Technology Center 2100

			DEC 2 2 2000 Fied				
	Form	PTO-1449 Modi	Sheet           Docket No.         Serial No.           MSFT-0179/         09/671,643           1506571.         1506571.				
	C	Patent and Publicat Cited by Applicant veral sheets if neces		Applicant			
		epartment of Comm and Trademark Off		Filing Date         Group           9/28/2000         2165			
		U.	S. PATENT D	OCUMENTS			
Examiner Initial		Document No.	Date	Name	Class	Subclass	
NORS	BX	5,103,392	04/07/92	Mori	395	725	
	BY	5,103,476	04/07/92	Waite et al.	380	4	
	BZ	5,109,413	04/28/92	Comerford et al.	380	4	
	CA	5,117,457	05/26/92	Comerford et al.	380	3	
	СВ	5,193,573	03/16/93	Chronister	137	315	
	CC	5,222,134	06/22/93	Waite et al.	380	4	
	CD	5,319,705	06/07/94	Halter et al.	380	4	
	CE	5,410,598	04/25/95	Shear	380	4	
1	CF	5,509,070	04/16/96	Schull	380	4	
NES	CG	5,629,980	05/13/97	Stefik et al.	380	4	
		FOR	EIGN PATENI	DOCUMENTS			
Examiner Initjal		Date	Country	Translat YES	tion NO		
1 AZ	СН	WO 96/24092	08/08/96	PCT	x		
- y	CI	WO 96/27155	09/06/96	РСТ	x		
N	CJ	WO 97/25798	07/17/97	PCT	x		
000	СК	WO 97/43761	11/20/97	РСТ	x		
NA	CL	WO 98/09209	03/05/98	РСТ	x		
EXAMINE		Janu VAS	Hene	DATE CONSIDE	RED 3/2	103	

.

RECEIVED

DEC 2 6 2000

Technology Center 2100

د. او مجانب هر		OIPE $2^{\frac{1}{2}} 2000$ W DEL $2^{\frac{1}{2}} 2000$ Sheet 6 of					
	Form PTO-1449 Modified	Docket No. MSFT-0179/ 1506571.	Serial No. 09/671,643				
	List of Patent and Publications Cited by Applicant (Use several sheets if necessary)	Applicant <b>Rajasekhar Abb</b>	buri				
	U.S. Department of Commerce Patent and Trademark Office	Filing Date 9/28/2000	Group 2165				
	U. S. PATE	NT DOCUMENTS					

Examiner Initial		Document No.	Date	Name	Class	Subclass
NA	СМ	5,634,012	05/27/97	Stefik et al.	395	239
	CN	5,638,443	06/10/97	Stefik et al.	380	4
	СО	5,673,316	09/30/97	Auerbach et al.	380	4
	СР	5,710,887	01/20/98	Chelliah et al.	395	226
	CQ	5,715,403	02/03/98	Stefik	395	244
	CR	5,765,152	06/09/98	Erickson	707	9
	CS	5,809,144	09/15/98	Sirbu et al.	380	25
ABJ -	СТ	5,845,281	12/01/98	Benson et al.	707	9

## FOREIGN PATENT DOCUMENTS

Examiner Initial		Document No.	Date	Country	Translation YES NO
Alt	CU	WO 98/10381	03/12/98	РСТ	X
	CV	WO 98/21679	05/22/98	РСТ	RECEIVED
has	CW	WO 98/24037	06/04/98	PCT	XDEC 2 6 2000
Noto	CX	WO 98/37481	08/27/98	PCT	Technology Center 2100
• • •		$)  \alpha  \alpha$	X		
EXAMINE		Tanul M	Mare	DATE CONS	SIDERED 72013